



Derby Safeguarding Adults Board

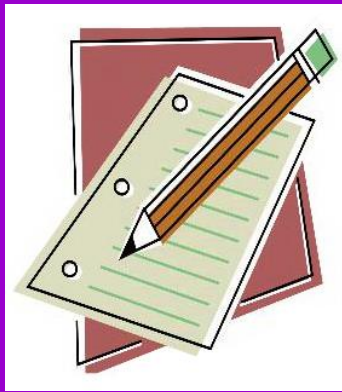


Annual Report 2020-2021

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1. Statement from the Chair



1.1 Foreword

Welcome to this Derby Safeguarding Adults Board (DSAB) Annual Report for 2021-22.

This year has been like no other in presenting many challenges due to the Coronavirus pandemic.

Before I continue, I would like to pass on my condolences to all of you that have lost friends and loved ones due to this terrible disease, my thoughts are with you.

During the year despite the difficulties faced, we continued to meet remotely every quarter to ensure work against our strategic plan was maintained. In between these meetings our 3 statutory partners, Local Authority, CCG and Police met with me each month to receive updates on the COVID situation across the City. Members found this approach very useful in identifying trends and risks linked to safeguarding and put in place actions to mitigate those risks. Further details on our work during the year can be found within this report.

Once again, I would like to place on record my sincere thanks to everyone involved in contributing to the work of the Board during this unprecedented time. Please take the time to read the report.

Best wishes

Allan Breeton

Independent Chair, Derby Safeguarding Adults Board



2. Derby Safeguarding Adults Board (DSAB) 2020-21



2.1 Derby Safeguarding Adults Board (DSAB)

Who are we and what we do:

The Derby Safeguarding Adults Board (DSAB) is a multi-agency partnership which became statutory from 1st April 2015 following the Care Act 2014.

The DSAB consists of senior representatives from the following:

- Derby City Council (DCC)
- NHS Derby and Derbyshire Clinical Commissioning Group (CCG)
- Derbyshire Constabulary
- Derbyshire Healthcare NHS Foundation Trust (DHCFT)
- Derby Homes
- DHU Healthcare
- East Midlands Ambulance Service (EMAS)
- Derbyshire Community Health Service NHS Foundation Trust (DCHS)
- University Hospitals of Derby and Burton (UHDB) NHS Foundation Trust
- Derby City and Neighbourhood Partnership
- Care Quality Commission (CQC)
- Derbyshire Police and Crime Commissioner (PCC)
- Derbyshire, Leicestershire, Nottinghamshire & Rutland Community Rehabilitation Company (CRC)
- National Probation Service Midlands
- Derbyshire Fire and Rescue Service
- University of Derby
- Public Health
- HealthWatch Derby
- NHS England
- Department of Work and Pension
- Diocese of Derby

The Board has been independently chaired for ten years by Allan Breeton and he continues to provide an independent perspective, challenge and support to the Board in order that it can meet its strategic objectives.

The Board meets quarterly and has robust governance arrangements across and within agencies. The Chair of the Board ensures that links are made with other Boards that impact on Safeguarding Adults in Derby, these being the Derbyshire Safeguarding Adults Board, Derby City and Derbyshire Safeguarding Children Partnership, the Health and Well Being Board and the Derby City Prevent Strategy Board.

The DSAB plays an important role in the strategic development of adult safeguarding locally. The objective of the DSAB is to assure that local safeguarding arrangements and partners act to help and protect adults in Derby City who meet the criteria set out in the Care Act 2014.

The criteria apply to anyone aged 18 or over who:

- Has needs for care and support (whether or not the local authority is meeting any of those needs), and
- Is experiencing, or at risk of, abuse or neglect, and
- As a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of abuse or neglect

2.2 Derby Safeguarding Adults Board Aims:

Derby Safeguarding Adults Board recognises that being greater than the sum of its parts it will ensure that partners work together to:

- stop abuse or neglect
- prevent harm
- reduce the risk of abuse or neglect to adults with care and support needs
- safeguard adults in Derby in a way that supports them in making choices and having control about how they want to live

2.3 Resources and Funding:

All partners who sit on DSAB contribute resources for the Board to meet its statutory requirements. This is achieved through:

- Funding from statutory and non-statutory partner agencies (Derby City Council, NHS Derby and Derbyshire Clinical Commissioning Group, Derbyshire Constabulary, Derbyshire Fire and Rescue Service and Derby Homes). The total contribution that the Board received for 2020-21 was £206,569
- Staff support/resources – for example attending Board and Subgroup meetings, providing administrative support
- Projects/work run by partner agencies that contribute towards the work of the Board

2.4 Board Subgroups:

The Board work programme is supported by its six sub-groups. Each subgroup comprising multi-agency representation across statutory and non-statutory services as well as health and social care organisations. Each subgroup is accountable to the Board in relation to achievements against the business plan and provides a highlight report for each Board meeting which focuses on the



subgroups progress in respect of actions needed to implement the current Board Strategic Plan. The four key subgroups are:

2.4.1 Learning and Development (L&D) Subgroup

The Learning and Development (L&D) Subgroup was chaired by Kerry Pope, Derbyshire Constabulary.

The purpose of this Subgroup is to:

- take direction from Derby and Derbyshire SABs in relation to Learning and Development and agree priorities which meet the strategic objectives of both Boards
- support both SABs in meeting the requirements of national guidance/legislation and standards in service provision to safeguard adults who are in need of care and support
- identify, develop and maintain and promote a multi-agency safeguarding adults training programme
- promote a consistent approach to safeguarding adults across Derby and Derbyshire
- embed the principles of Making Safeguarding Personal within safeguarding training
- develop quality assurance tools to evaluate safeguarding training
- analyse learning identified from multi agency reviews and audits in relation to existing safeguarding adults training and identify gaps and areas for development.



Despite the Covid pandemic, the Learning and Development Subgroup has had another productive year. We have adapted really well to the online learning world and continued to arrange and facilitate two multi-agency training courses, 'Making Enquiries under s42 of the Care Act (2014)' and 'Chairing Meetings' during 2019/20. Making Enquiries under s42 of the Care Act (2014) has been running since February 2017 and the Chairing Meetings course has been running since March 2019.

A task and finish group met in 2021 to discuss Equality and Diversity. The subgroups aim is to ensure that Equality and Diversity has consistent messages within training and the work that is carried out as a partnership. The work of this group will continue throughout 2021 and 2022.

The Derbyshire Safeguarding Adults Board 'Learning from SARs, MALRs and DHRs' conference took place on 29th April 2021 as a webinar via Microsoft Teams. The learning from the various reviews undertaken in Derbyshire can be used by partner agencies within their training. This was a success in that lots of learning was delivered to various agencies.

The subgroup has an action plan linked to the three strategic priorities which both Derbyshire and Derby City Safeguarding Adults Board have adopted; these are

Making Safeguarding Personal, Quality Assurance and Prevention. This action plan is reviewed at each meeting and shared with both Boards to monitor progress.

Previously all SAB courses had been administrated via Derby City Council but in November 2019 the courses were moved onto the Derbyshire County Council system, Derbyshire Learning Pool, where all partner agencies can access and book onto the courses electronically.

2.4.2 Quality Assurance (QA) Subgroup



The Derby City Safeguarding Adult Board's Quality Assurance (QA) Sub-Group is chaired by Bill Nicol, NHS Derby and Derbyshire Clinical Commissioning Groups and is primarily concerned with assessing the quality and standard of inter-agency and partnership collaboration in ensuring that adults at risk are protected from abusive behaviour and practice.

The Derby City SAB Quality and Performance Committee have continued to focus upon the auditing of safeguarding adult case files. The purpose being to identify operational themes, challenges, and positive outcomes for adults at risk. Cases involving adults who are homeless, who have learning difficulties, and who self-neglect have been scrutinised. Due to the Covid 19 pandemic we have been through unique and testing times but there was assurance to be found that all key partners had continued to meet their statutory responsibilities in safeguarding those at risk from abusive behaviour and practice.

There was evidence of robust inter-agency collaboration and partnership working with both being critical components in any safeguarding care planning.

The committee was well supported by key partner agencies and their commitment to learning and improvement should be commended.

The two most prevalent forms of safeguarding risk during Covid were Domestic Abuse and Self-Neglect. These were given priority with assurance sought that all was being done to respond to those concerns.

The collation of statistical operational data has been an invaluable aspect of obtaining assurance that this work has had a successful and meaningful impact upon those at risk. Much has been done to improve the breadth and quality of the data, providing context, and meaning to any analysis and scrutiny



Committee members provided assurance by evidencing their governance and performance arrangements. This will continue as an ongoing requirement.

The past year has been challenging in extreme and has presented all care services with unique circumstances and dilemmas. Staff across the City should be proud of

their achievements in meeting these demands and maintaining a high standard of professionalism.

2.4.3 Mental Capacity Act Subgroup



The Mental Capacity Act (MCA) Subgroup is chaired by Emily Freeman, Head of Service for Safeguarding Adults and Professional Standards at Derby City Council. This is a joint subgroup for both Derby and Derbyshire Safeguarding Adults Boards. It is positively supported with representation from key statutory and non-statutory partners and is well attended.

2020-21 was a challenging year due to the global Coronavirus pandemic. Initially, the work of the MCA subgroup was paused to allow health and social care partner agencies to refocus resources to respond to the unprecedented emerging situation.

The pause on the subgroup activity was short-lived, and as a partnership we have been able to progress a number of actions alongside our usual business of sharing good practice, tools and information and scrutinizing the application of the MCA and DOLS across partner agencies.



Awareness and application of the Mental Capacity Act continues to be a significant theme identified nationally in Safeguarding Adults Reviews (SARs). In line with recommendations from our local SARs and Multi-Agency Learning Reviews, the MCA subgroup has started to develop resources to support young people and their families to understand the MCA. These resources are hoped to be ready for circulation in 2021-22 and will assist in the work needed for implementation of the Liberty Protection Safeguards.

In 2020-21 the MCA subgroup have launched a newsletter to raise awareness and provide information, guidance and signposting across Derby and Derbyshire. The newsletters have been circulated to SAB members and have also been published on SAB websites. This newsletter will continue to be published twice a year in 2021-22.

The Deprivation of Liberty Safeguards were due to be replaced with the Liberty Protection Safeguards in October 2020, however this was delayed due to Coronavirus. The MCA subgroup has formed an Implementation Project Group to work collaboratively to develop as much joint policy, procedure and guidance as possible to ensure consistency across the city and county. This work will be progressed throughout 2021-22 in preparation for the revised implementation date of April 2022.

Following our successful survey and scoping of skills and knowledge in 2019-20 in which we identified barriers to the application of the MCA legislation and guidance, a set of core training slides were developed to be used across multi-agency partners. In 2020-21 we circulated these slides and started to collate feedback. In 2021-22 we hope to undertake some analysis on the usefulness and impact of these slides.

2.4.4 Safeguarding Adults Review (SAR) Subgroup

The Safeguarding Adults Review (SAR) Subgroup is chaired by Andy Smith, Derby City Council. The SAR Subgroup looks at referrals submitted to the group which need to be assessed against the SAR Criteria.

The SAR Criteria is:

(1) A Safeguarding Adult Board (SAB) must arrange for there to be a review of a case involving an adult in its area with needs for care and support (whether or not the local authority has been meeting any of those needs) if:

a) there is reasonable cause for concern about how the SAB, members of it or other persons with relevant functions worked together to safeguard the adult **and**

b) either of the following conditions are met

(2) Condition 1 is met if:

a) The adult has died, and

b) The SAB knows or suspects that the death resulted from abuse or neglect (whether or not it knew about or suspected the abuse or neglect before the adult died)

Condition 2 is met if:

a) The adult is still alive, **and**

b) The SAB knows or suspects that the adult has experienced serious abuse or neglect

The SAR Subgroup commissioned their first Safeguarding Adults Review (SAR01) which the Subgroup agreed met the criteria of a SAR. SAR01 was commissioned to an Independent Reviewer and is in its last stages of completion. It is hoped that the learning will be shared with partner-agencies in following sign off of the report in April 2021.

In March 2021, the SAR Subgroup commissioned a second Safeguarding Adults Review (SAR02), which has been allocated to an experienced Independent Reviewer.

2.4.5 Making Safeguarding Personal (MSP) Subgroup



The Derby City Safeguarding Adult Board's Making Safeguarding Personal (MSP) Subgroup is chaired by Perveez Sadiq, Derby City Council.

The focus of the MSP subgroup is to promote awareness of Safeguarding Adults across Derby City and to ensure that the views of Adults who have experience of safeguarding processes are used to inform practice development and stronger multi-agency working.

The Subgroup is attended by representation from partner agencies, and we were also fortunate, to have representation from Matthew Fowler, who is a resident in Derby City for the past two years.

During 2020-21 the Subgroup continued to raise awareness of Safeguarding across all groups in Derby.

The members of the subgroup have attended a number of events to promote adult safeguarding. The very important message members try to get across is the importance of making referrals as soon as anyone becomes aware of abuse and neglect.

There are printed materials available to support the communication of this important key message.



The subgroup continues to support the Dignity Award and the Community Respect Award which encourages smaller and voluntary organisations to apply for the Award, who are unable to complete the Main Dignity Award.

The group has also received a range of information from partners on how they are working to achieve both the groups and the boards' key priorities. This aspect of assurance will be developed further throughout the duration of the SABs Strategic Plan

The MSP Subgroup also successfully supported the National Safeguarding Adults Week 2020 and held a Practice Development Week 2020. The Ann Craft Trust worked in partnership with Safeguarding Adults Boards across the country, to promote the National Safeguarding Adults week 2020, highlighting a number of themes for focus and exploration across the week. The MSP Subgroup coordinated this practice development week to present briefing events on those key themes.

The MSP subgroup meets quarterly and reports back to the Safeguarding Board at every Board meeting on the agreed MSP Subgroup Action Plan 2020-21.

2.4.6 Policies and Procedures (P&P) Subgroup

The Policy and Procedures (P&P) Subgroup is chaired by Zoe Rodger-Fox, Chesterfield Royal Hospital.

The purpose of the Joint Policies and Procedures Sub-group is to establish and review multi-agency policies and procedures and practice guidance in relation to safeguarding adults to ensure that staff are equipped to respond to safeguarding adults concerns and promote the welfare of adults with care and support needs with the aim to:

- To support both SABs in meeting the requirements of national guidance/legislation and standards in service provision to safeguard adults who are in need of care and support.
- To identify, develop, review and promote multi-agency safeguarding adults policy, procedures and practice guidance. Existing guidance will not be reviewed unless there is a requirement due to:
 - A change in legislation or statutory guidance
 - The review date has arrived
 - A formal request is made via the Board or a SAB Subgroup that an amendment is required due to a factual inaccuracy.
 - Learning from a SAR/learning review/DHR requires a change to be made to existing guidance
- To promote a consistent approach to safeguarding adults across Derby and Derbyshire.
- To embed the principles of Making Safeguarding Personal within safeguarding policy and practice guidance.

This joint group was established this year with a new Chair and deputy Chair from Health services accompanied by a wide range of agencies as partner members. Engagement with the meeting has remained high throughout the year with contribution to the work plan being shared across the partnership.

There has been a full review of the work plan and reassignment of actions to support the group in moving forward with creations of new documents. The integrated policies and procedures were published, and a standing agenda item created where policy and procedure change requests can be reviewed to ensure timely change in line with new legislation and learning.

The group continue to risk assess the outstanding work and ensure new policies. Procedures and guidance are produced to meet the needs of the public and the partners. The table below highlights the progress the group have made during the year.

	2019-2021	2020-2021
RED - Document needed and not yet started	10	6
AMBER - Document being worked on or awaiting sign off	11	6
GREEN - Document in Place	26	42

2.5 Safeguarding Adults in Practice

It was the month of August 2020 and Derby Homes visited Mr A for the first time since March and had serious concerns about the way he presented and the condition of the flat which had got significantly worse since the last visit. The flat was filled with so much clutter and agencies were unable to enter any of the rooms. When the Tenants Officer first arrived, the front door was wide open. The Officer asked Mr A if he had left this open because he was expecting the Officer and he said no, he was actually trying to get some fresh air in.

Mr A was asked to remain in his bedroom so that the Officer could enter, the hallway was very cluttered, so the Officer had to enter sideways on. The Officer poked their head around the corner to see into the living room and it was filled with so much clutter, that the entrance to the kitchen was blocked. There were piles of cardboard boxes amongst the other items. Mr A was asked where all the items had come from as the clutter had got worse since the last visit. Mr A said he had taken items from the bedroom and was sorting through the items to prepare to take them to his lock up. The Officer looked into the bedroom and could not see where all the stuff had come from as the bedroom was just as full. There was a small space on the bed that Mr A uses to sleep but the rest of the bed was covered with various items. The windows were barely visible and there was no way to access them if you wanted to open them.

Mr S accepted that he has a problem and would like help and assistance to make his home liveable.

On the day of the visit, the Officer also noticed that Mr A did not look well that day, he was struggling for breath a lot of time and kept needing to sit down but the only places to sit was a small area of the bed and a stool outside the flat by the front door, this was also very concerning as he needs somewhere to rest properly and would benefit from using his wheeled walking aid but there is not enough room for him to walk with this in the property.

The Officer discussed the case with their Manager and a decision was made to complete a safeguarding referral. Mr A used to rely on his wife to assist him with his care and support needs but at this time she was not around due to previous concerns around domestic abuse. Mr A, however said he wanted to continue the relationship and for her to return to the home address. This information was included on the safeguarding referral.

Outcome:

Following the safeguarding referral, a strategy meeting was held and agreed actions were for Mr A to be moved to supported housing for his safety, which Derby Homes managed and secured him a move through our discretionary process, and that a care assessment to be carried out. This was completed and it was deemed he was ok to manage independently.

3. Safeguarding and Deprivation of Liberty Safeguards (DoLS) Activity Report



3.1 Activity Reports:

3.1.1 Safeguarding Adults 2020-21 Data

The 2020-21 Safeguarding Adults Collection (SAC) records details about safeguarding activity for adults aged 18 and over and was amended in line with the changes brought about by the Care Act 2014.

Here is an explanation of some of the terminology used in the following data reports:

Safeguarding Concerns: This means cases where a sign of suspected abuse or neglect is reported to the council or identified by the council. Derby City Council have captured information about concerns that were raised during 2020-21, that is the date the concern was raised with the council falls within the reporting year, regardless of the date the incident took place.

Safeguarding Enquiries: This means the action taken or instigated by the local authority in response to a concern that abuse or neglect may be taking place. An enquiry could range from a conversation with the adult to a more formal multi-agency plan or course of action.

Section 42 Safeguarding Enquiries: The enquiries where an adult meets ALL of the section 42 criteria.

Other Safeguarding Enquiries: The enquiries where an adult does not meet all of the section 42 criteria but the council considers it necessary and proportionate to have a safeguarding enquiry.

The next two pages will highlight the total number of safeguarding referrals received 2020-21 with the following breakdown:

- **Number of safeguarding referrals received during 2020-21**
- **Safeguarding enquiries started and concluded during 2020-21**

Total Number of Safeguarding Referrals received during 2020-21 and breakdown of individuals

Total Number of Safeguarding Referrals Received in 2020-21

4390

18%

Total Percentage increase in Referrals from 2019-20

Total Number of Section 42 Safeguarding Enquiries

2235

Ethnicity

2019-20	2020-21	Ethnicity
73%	69%	White / White British
1.5%	1.7%	Mixed / Multiple
6%	5%	Asian / Asian British
4%	3%	Black / African / Caribbean / Black British
1%	1%	Other Ethnic Group
14.5%	16%	Undeclared / Not Known

The average population of Derby City who are White/White British is **80%**

White/White British is the largest ethnicity group for safeguarding referrals with **69%**. The percentage, a decrease of 10% from the previous year

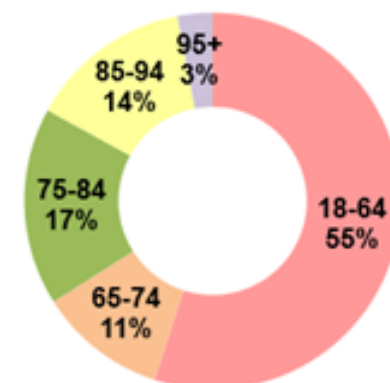
Age and Gender



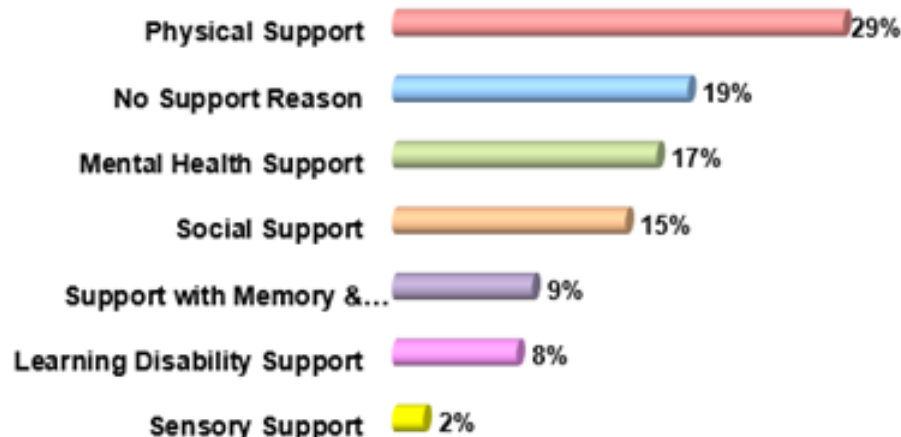
44% of those referred were males whilst **56%** were females. This is an increase in Referrals for females from 2019-20 by **5%**

The average population of females in Derby is **50.5%**

The highest figure for age group is 18-64 amounting to **55%**, a **4%** increase from 2019-20



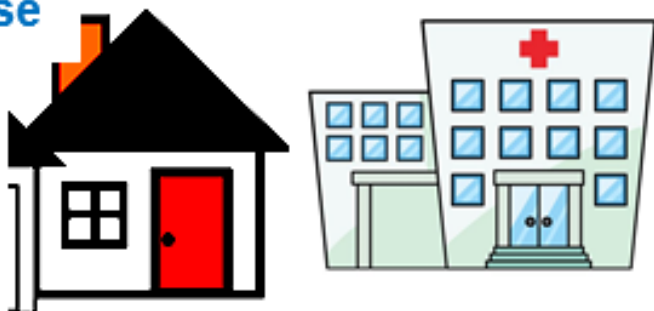
Primary Support Reason



Safeguarding Enquiries started and concluded during 2020-21

Location of Abuse

61% of Safeguarding Enquiries concluded were where alleged abuse took place in the individuals own home. This is an increase of **8%** from 2019-20.



17% of concluded referrals were where abuse took place in a care home, which is a **4%** decrease from 2019-20 whilst **9%** were in a hospital setting, which is a **2%** decrease from 2019-20

Alleged Source of Risk



8% were experiencing abuse from a stranger or person not known

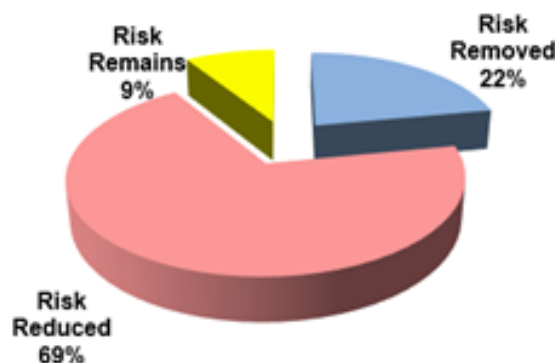
63% of abuse allegedly was by someone they knew. This is a **3%** decrease as 2018-19 reported **66%** of abuse was carried out by someone who they knew

29% of abuse was allegedly by a professional, which is an increase by **8%** from 2018-19

Type of Abuse

2019-20	2020-21	Type of Abuse
23%	17%	Physical Abuse
4%	4%	Sexual Abuse
12%	12%	Psychological Abuse
12%	12%	Financial or Material Abuse
1%	>1%	Discriminatory Abuse
3%	4%	Organisational Abuse
21%	26%	Neglect and Acts of Omission
6%	7%	Domestic Abuse
1%	1%	Sexual Exploitation
>1%	>1%	Modern Slavery
13%	16%	Self-Neglect

Risk Outcomes



91% felt that following the completion of the Safeguarding Enquiries, the risk was removed or reduced. This is a decrease of **2%** from 2019-20

3.1.2 Deprivation of Liberty Safeguards (DoLS) Data – 2020-21

The Deprivation of Liberty Safeguards, often referred to as DOLS came into effect in 2009. They are part of the legal framework set out in the Mental Capacity Act 2005 to safeguard the rights of people who lack the mental capacity to make decisions for themselves.

The European Court of Human Rights established in principle that ‘no one should be deprived of their liberty unless it is prescribed by law’. The Deprivation of Liberty Safeguards were subsequently introduced to ensure, that in circumstances where a hospital or care home believe it will be necessary to deprive a person of their liberty in order to deliver a particular care plan, that any deprivation of liberty:

- is in the person’s best interests
- is necessary and proportionate to prevent harm
- is with representation and rights of appeal
- is reviewed, monitored and continues no longer than necessary

What amounts to a deprivation of liberty depends on the specific circumstances of each individual case. As a result, there is no single definition or a standard checklist that can be used. However, in March 2014, a landmark Supreme Court judgement set out an ‘acid test’ for determining whether a person is being deprived of their liberty. The judgment states that if a person:

- lacks capacity to consent to their care and treatment and
- is under continuous supervision and control and
- is not free to leave

the person is being deprived of their liberty.

In July 2018, the government published a Mental Capacity (Amendment) Bill, which passed into law in May 2019. It replaces the Deprivation of Liberty Safeguards with a new scheme known as the Liberty Protection Safeguards.

The following information is a summary taken from www.scie.org.uk/mca/dols/practice/lps about the key features of the Liberty Protection Safeguards (LPS):

- They start at the age of 16-year-old.
- There is no statutory definition of a deprivation of liberty beyond what is set out in the Supreme Court judgement of March 2014, known as the acid test.
- Deprivations of liberty have to be authorised in advance by the responsible body.
 - For NHS hospitals, the responsible body will be the hospital manager.
 - For arrangements under Continuing Health Care outside of a hospital, the responsible body will be the local CCG.
 - In all other cases, the responsible body will be the local authority.

- For the responsible body to authorise any deprivation of liberty, it needs to be clear that
 - The person lacks capacity to consent to the care arrangements
 - The person has a mental disorder
 - The arrangements are necessary to prevent harm to the cared for person and proportionate to the likelihood and seriousness of that harm
- In order to determine this, the responsible body must consult with the person and others, to understand what the person's wishes and feelings about the arrangements are.
- An individual from the responsible body, but not someone directly involved in the care and support of the person subject to the care arrangements, must conclude if the arrangements meet the three criteria above (lack of capacity; mental disorder; necessity and proportionality).
- Where it is clear, or reasonably suspected, that the person objects to the care arrangements, then a more thorough review of the case must be carried out by an Approved Mental Capacity Professional.
- Where there is a potential deprivation of liberty in a care home, the Act allows care home managers – if the local authority felt it was appropriate - lead on the assessments of capacity, and the judgment of necessity and proportionality, and pass their findings to the local authority as the responsible body. This aspect of the Act has generated some negative comment, with people feeling that it might lead to insufficient independent scrutiny of the proposed care arrangements.
- Safeguards once a deprivation is authorised include regular reviews by the responsible body and the right to an appropriate person or an IMCA to represent a person and protect their interests.
- As under DoLS, a deprivation can be for a maximum of one year initially. Under LPS, this can be renewed initially for one year, but subsequent to that for up to three years.
- Again, as under DoLS, the Court of Protection will oversee any disputes or appeals.

The implementation date is now set as April 2022.

Total Number of Deprivation of Liberty Safeguards (DOLS) applications received – 2020-21

Total Number of DOLS Applications received in 2020-21

705

88

Total Numbers of Applications Granted in 2020-21

Total percentage of applications received from the hospital

10%

Ethnicity

2019-20	2019-20	Ethnicity
91%	89%	White / White British
1%	1%	Mixed / Multiple
3%	4%	Asian / Asian British
3%	2%	Black / African / Caribbean / Black British
0%	0%	Other Ethnic Group
2%	4%	Undeclared / Not Known

White/White British is the largest ethnicity group for applications received in 2020-21 with **89%**. This is a **2%** increase from 2019-20

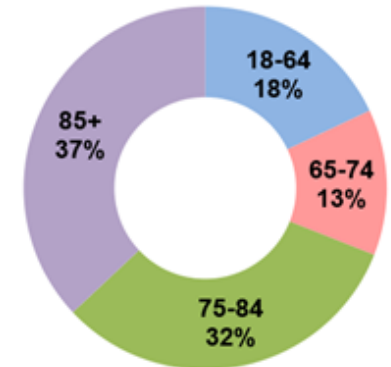


Age and Gender



There were **40%** of applications received for males and **60%** of applications received for females. This is the same percentage as from 2019-20.

The highest figure for age group is over 85 amounting to **37%**. This is a decrease from 2019-20 by **4%**



Location and Primary Support Reason



10% of referrals were received from the hospital whilst **90%** of applications were received from a care home. **4%** increase in hospital and 4% decrease in care home referrals from 2018-19

41% of applications were for individuals with dementia. This is a **16%** decrease from 2019-20

3.1.3 Deprivation of Liberty Safeguards (DoLS) in Practice

Sam is an eighty-six-year-old woman who is living with Dementia. Sam had been living in her own home with support from her daughter and visiting carers as her mental health deteriorated. Sam is described as having been a very active person and enjoyed going out every day. However, as her condition progressed, and she became more confused she was regularly becoming disorientated when she was out and needed to be supported back home by the Police on several occasions.

Sam was becoming more vulnerable to exploitation and abuse when out in the community and with increasing safety concerns at home it was agreed that she would benefit from a period in a care home. Sam made it very clear that she wanted to return home and this was facilitated with an increase in support and assistive technology. However, after only two weeks Sam had gone missing from home and was eventually located in the city centre late at night. Sam was admitted to another care home as it was felt the risks of remaining at home were too great.

Although Sam was accepting of the care and support that was provided, she was becoming frustrated and upset that she could not go out when she wanted, which resulted in her frequently asking to go home and regularly putting on her coat and going to the front door.

The care home applied to Derby City Council for a DoLS Authorisation and following the relevant assessments Sam was assessed as lacking the mental capacity to make a decision about her care and accommodation. It was agreed that it was in her best interests to remain living in the care home and a six-month standard authorisation was granted. The Assessor recognised Sam's previous very active lifestyle and advised staff of this. She recommended that a condition was applied to the Authorisation which required the care home to regularly support Sam to go out into the local community with the aim of reducing the level of restriction and hopefully enabling Sam to become more settled and accepting of the placement. It was noted that opportunities to go out were limited due to the Covid-19 pandemic

Outcome:

When the Authorisation expired, the care home requested a renewal, and an assessor was allocated to undertake a review. The assessor visited the care home and after consulting with staff and care records, identified that Sam was regularly being supported to go out and that this had increased in frequency as Covid-19 restrictions were lifted. Sam herself appeared to be content with the arrangements and stated that she was happy living in the care home. Staff confirmed that Sam was no longer asking to go home, had stopped going to the door and presented as settled.

3.1.4 Deprivation of Liberty Safeguards (DoLS) in Practice

Derby DOLS Team received a request to renew a previous DoLS authorisation for Adam living in a care home. Adam has very significant health and care needs as a consequence of a brain injury. Adam had been admitted into hospital for acute care and for some rehabilitation before being discharged into a care home as a consequence of the continuing and complex nature of his health care needs. Part of his difficulties were a severe impairment of communication, an inability to understand the nature of the help he needed and the risks to his safety and well-being.

Adam's placement is funded by NHS continuing healthcare and as a consequence he had had very little previous involvement with adult social care services.

Adam has a very limited family network. As a consequence of Covid-19 and the precautions and restrictions necessary within care homes over the last 18 months, there has been limitations on the frequency and nature of contact that his family have been able to enjoy with him. This has been a cause of understandable anxiety for Adam and his family.

Given the nature of Adam's care needs, cognitive and communication difficulties, it was really important to consider how best to plan for prepare and engage with him as part of the assessment process. It was very challenging to establish his views and wishes concerning the placement arrangements but certainly meeting him in person, spending time with him and his carers enabled a better understanding of his mood, of his responses to activity, his home environment and to the care team looking after him.

A direct visit also enabled deeper scrutiny of documentations in care plans, risk assessments and best interest determinations which the care home are making on his behalf.

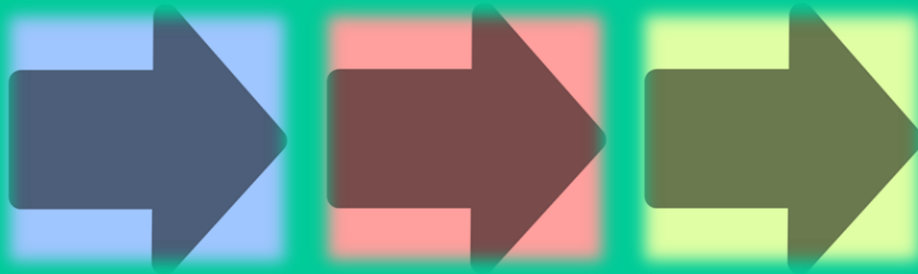
The nature of the DoLS assessment focuses upon the nature of restrictions (which were considerable), and to looks at their necessity and proportionality. This level of scrutiny also informed consultation with the funding body and consideration of what other community therapy services might be able to offer in order to support any possibility of continuing rehabilitation which might then be reflected in reducing restrictions his care plan. This was then reflected in the setting of Conditions, keeping the focus on reducing restrictions, maximizing Adam's recovery of independence and promoting his rights for representation in decision making.

Outcome:

Consultations were completed with Adam's family, the funding organisation, the care team, and regard given to the reports produced by Paid Representative over the period of the previous authorisation. Adam has recently been appointed a Deputy to manage his finance and property affairs and it was therefore also possible to consult with his legal representatives about his status under DoLS and reassure them concerning protection of rights and access to the DoLS safeguards.

This is a unique feature of the DoLS process to bring this level of coordination and examination to the individuals care arrangements and in the determination of their best interests.

4. Moving Forward...



4.1 Board Priorities for 2021-2022

DSAB Vision

“Helping people make choices to keep safe”

DSAB Strategic Priorities for 2021-22

Derby Safeguarding Adults Board is working closely with its partners and the following three strategic priorities have been agreed to achieve its vision:

1) Making safeguarding Personal (MSP)

DSAB will develop and embed an approach to its work that puts the adult at the heart of safeguarding. We will also support partners to develop processes which engage the adult, or their representative, in a conversation about how best to respond to individual safeguarding concerns

2) Quality Assurance

DSAB will develop and implement systems to assure itself that it and all partners have appropriate arrangements in place to safeguard those adults most at risk in Derby

3) Prevention

DSAB will develop and implement preventative strategies that seek to reduce incidence of abuse and neglect within Derby

Priorities for 2021-22

Making Safeguarding Personal

- To identify existing customer groups where safeguarding adults awareness can be raised
- To develop a communication strategy, ensuring the use of plain English
- To consider safeguarding adult data about self-referrals
- To ensure MSP is demonstrated in safeguarding practice
- To receive feedback from Adults / their representative after completion of the safeguarding process
- To provide data on outcomes and making safeguarding personal to the Board

Quality Assurance

- To scope options for an assurance framework for partners
- To ensure systems are in place for identification and delivery of appropriate learning and development opportunities
- To evaluate management performance information and develop an action plan

- To consider methods of increasing case file audits completed in the year
- To identify learning from multi-agency reviews nationally and locally where safeguarding adult issues arise
- To implement systems for DSAB Policy & Procedures and Practice Guidance to be developed, updated and maintained

Prevention

- To scope out preventative strategies currently in place in relation to safeguarding adults

5. Statements from Partners



Derby City Council (DCC)

Safeguarding work undertaken and key achievements in 2020-21

During 2019-20 DCC completed and achieved the following:

- Managed and responded to 4377 safeguarding adult referrals through the single point of access based within the Multi-Agency Safeguarding Hub
- Continued to host the co-location of the Multi-Agency Safeguarding Hub partner agencies within virtually
- Worked in partnership with the Ann Craft Trust to promote the National Safeguarding Adults Awareness Week, to highlight a number of themes for focus and exploration across the week
- Promoted the Derby Dignity Day Event in February 2021
- Continued to prioritise Making Safeguarding Personal (MSP), keeping the individual at the heart of safeguarding and promoting the agenda within Adult Social Care and partner agencies
- Outcome measures of MSP continue to be embedded within the safeguarding process
- Delivered a range of Safeguarding Adults training courses from across private sector and partners agencies
- Supported the Multi-Agency case file audits that were led by the Quality Assurance Subgroup
- Provided local coordination in respect of the Safeguarding Adults Collections (SAC) Returns and Deprivation of Liberty Safeguards (DoLS) Collection for NHS Digital 2020-21
- Represented on the Derby Safeguarding Adults Board and it's six subgroups (Learning and Development Subgroup, Mental Capacity Act Subgroup, Quality Assurance Subgroup, Making Safeguarding Personal, Safeguarding Adults Review Subgroup and Policies and Procedures Subgroup), ensuring that the Agenda of the DSAB was being followed

Clinical Commissioning Groups (CCG)

Derby & Derbyshire Clinical Commissioning Group (DDCCG) has continued to play a major role in implementing the Boards strategic objectives. The CCGs safeguarding adult team contributed to all the Boards supporting committees and work programmes. This has included the Chairing of the Quality & Performance Committee and the Case File Audit Group. DDCCG has also participated in Safeguarding Adult Reviews and Domestic Homicide Reviews.

Due to the Covid pandemic the past year has presented unique challenges to the provision of all services. The implications and reality of lockdown have impacted negatively upon those adults at risk from abusive behaviour and practice. The CCG has worked effectively with partners in order to ensure that all NHS providers have continued to meet their statutory responsibilities in keeping patients safe throughout these testing and difficult times. Assurance has been provided to the DDCCG via regular communication and dialogue with commissioned services as well as by the distribution and completion of assurance questionnaires. Safeguarding adults has remained a priority across the NHS and staff should be proud of their commitment during these unique circumstances.

The unique challenges presented by Covid have necessitated robust inter-agency partnership working arrangements and the DDCCG has maintained its presence at all safeguarding related activity.

DDCCG continued to provide staff development opportunities via online events with over 350 staff attending safeguarding adult training.

The work of the DDCCG safeguarding team was assessed against several safeguarding criteria and performance benchmarks during 2021 by the East Midlands NHSE and has been given a Green rating. This indicates that they are fully assured and satisfied with the CCGs performance and achievements across safeguarding adults from harm.

Derbyshire Police

Protecting the vulnerable is central to our policing mission. Derbyshire Constabulary continues to invest in a number of dedicated units which work in partnership to achieve this.

From a centralised Risk and Referral Unit that reviews and manages all cases involving a vulnerable adult to teams of Public Protection officers servicing the county from local hubs in Buxton, Chesterfield and Derby; significant resources are engaged in this important work. During the last year we have re structured these hubs to allow for many officers to focus solely on safeguarding adults.

In recent months we have put in place new services that aim to prevent abuse. A Domestic Abuse Intervention and Management [DAIM] team was established in November 2020 comprising a sergeant and six constables. They intensively case manage the victims of repeat and serial domestic abuse that are deemed to be at the highest risk of harm. 48 such victims have so far been supported. Each individual is personally supported for a minimum of three months. By October 2021 we plan to increase the size of this team by a further 3 constables.

In May 2021 we commenced in partnership a DRIVE programme to tackle the highest harm domestic abuse perpetrators identified through established MARAC processes. Funded by the PCC and partners, as well as via a Lottery Grant, DRIVE now employs 4 case managers, a coordinator and a supervisor to manage up to 100 perpetrators across the force area. Evaluation of the benefits will be ongoing as the year progresses.

Over and above these specialist functions we protect vulnerable adults throughout the constabulary. It is a core responsibility for all officers and staff. To ensure our people are skilled all operational officers now have access to a 'Vulnerability Guide' to assist them identify vulnerability and ensure early support. Domestic Abuse Matters training has been rolled out across the force. At Derby a dedicated missing persons unit has been put in place which leads on finding and safeguarding people when they are in crisis.

All incidents and reports to police are subject to a risk assessment process called THRIVE. This allows us to prioritise and reprioritise against not only threat, harm and risk but also known vulnerabilities.

Demand in this field is growing. In Spring 2020 we would typically deal with around 30 vulnerable adult cases across the county each day. This number has consistently grown over the C19 lockdown periods and it currently around 50 daily. Whilst the aforementioned investments are designed to address this such intelligence may be an indication that the public need is increasingly being identified and a service provided.

In the year ahead we will continue our 24/7/365 service to vulnerable adults across Derbyshire. We will strive to further improve that service with activity already underway to implement the provisions of the 2021 Domestic Abuse Act and through the recruitment of extra officers.

Derbyshire Fire and Rescue Service

Derbyshire Fire and Rescue Service (DFRS) remain committed to safeguarding adults and children.

The Service has a Strategic Manager who has overall responsibility for safeguarding supported by two safeguarding officers who manage the day to day running of the safeguarding function.

This year, despite a difficult year for everyone due to the pandemic, DFRS have referred 9 adults to the safeguarding process and 2 children. Alongside this we have supported 1140 vulnerable adult referrals and 145 vulnerable children's referrals. All of these have been managed via a multi-agency setting. We have also processed 93 S42 enquiries and supported several requests for home visits.

Throughout the pandemic we were acutely aware of the heightened risks whilst isolating at home and the new and emerging vulnerabilities to our communities. To combat this, we ensured all frontline staff were given up to date information around scams, increase in domestic violence and child poverty. We also supported our vulnerable adults by providing a be-friending service and support the prescription deliveries across the County.

DFRS continue to make significant commitments to safeguarding continuing to ensure all new employees undertake safeguarding models for adults and children and understanding the categories of abuse. The Service has also provided level 2 training for community safety officers and youth workers to ensure that they continue to understand safeguarding legislation and are equipped to spot the signs of abuse.

DFRS safeguarding officers have continued to support attendance at all sub-groups and Boards this year by way of virtual attendance and are currently going through the process of sharing information as part of their fatal fire reviews. We will also be sharing our safeguarding self-assessment with the Quality Assurance Boards to give further evidence of our commitment to supporting adults and children at risk.

Lastly as part of our ongoing program of training for all employees we will now be delivering Safeguarding training as part of our CPD events for other teams within the service.

Derby Homes

Derby Homes continue to play an active role in the work of the adult safeguarding board. They are represented on all its the sub-groups and despite not being a statutory partner, show a commitment to the board by making a 4% contribution to the board running costs.

In November 2020, Derby Homes employed a dedicated Safeguarding and Compliance Manager, this post ensures that there is a resource that is focused on safeguarding issues and maintaining partnership links.

Working with the Quality Assurance subgroup and subsequent audit panels, it has been identified that several referrals are made to the Multi-Agency Safeguarding hub that do not meet the threshold.

The Safeguarding & Compliance Manager has reviewed any inappropriate referrals that Derby Homes staff have made and has carried out work with managers and staff internally to ensure staff discuss referrals with managers or safeguarding champions before submitting them.

Working with the Learning and Development sub-group, Derby Homes continue to work with the partnership to play an active role in the review and delivery of board training courses. New work this year has focused on how to raise awareness around unconscious bias.

Derby Homes provides a basic level of safeguarding training to all its staff. The training has recently been refreshed and updated. Discussions around making safeguarding personal are included within the training. It is emphasised to staff that they should listen to the adult's views and take their wishes into account. Staff are also made aware that they should speak to adults before making a referral, and the importance of working with, not to people.

Derby Homes have always played an active role in the Making Safeguarding Personal sub-group by contributing to events, promoting safeguarding and supporting groups to achieve the Community Respect award. Unfortunately, due to the pandemic, the community rooms have been closed. Therefore, many activities are currently on hold.

The Dignity Awards ceremony was not able to take place in February, however it was replaced by an online event. Derby Homes contributed by raising awareness of the event online.

On the prevention agenda, Derby Homes have committed to running a yearly Safeguarding Campaign aimed at staff, the topic for 2021 is Domestic Abuse. Domestic Abuse was chosen due to the worrying rise in cases both locally and nationally during the pandemic.

As Employers, Derby Homes recognised the responsibility to provide staff with a safe working environment and are aware that for some staff the workplace can be the only

safe haven from domestic abuse and violence. Raising staff awareness also helps them to support customers who may be experiencing abuse.

There are currently 3 workplace Domestic Abuse Champions to offer support in the workplace to colleagues affected by domestic abuse. They are fully equipped with the understanding, knowledge, and skills to respond safely and appropriately, offer support and signpost victims to specialist support.

Staff can access a range of information around safeguarding and domestic abuse through the two dedicated webpages that have been set up on the internal website.

Derby Homes are also working with the Local Authority and other partners across the city to develop a Domestic abuse strategy. They will also be represented on the Domestic Abuse Perpetrator project 'Drive'.

Derby Homes have applied for national accreditation with The Domestic Abuse Housing Alliance's (DAHA). Its mission is to improve the housing sector's response to domestic abuse through the introduction and adoption of an established set of standards and an accreditation process.

Derbyshire Healthcare Foundation Trust (DHCFT)

As with all of our partners 2020-21 has been an unprecedented year for Derbyshire Healthcare NHS FT.

We have continued embedding our Safeguarding Strategy to focus on seven pillars of practice which directly correlates to the principles of the Safeguarding Board.

We developed this from our learning from our interagency practice reviews, feedback we had received and what we felt was important for people who use our services based upon feedback and intelligence.

Making Safeguarding Personal (MSP) has been taken into account in this learning.

The MASH during the Covid Pandemic

During the period this report relates to, the Covid Pandemic has continued and there has been several national lockdowns, with social distancing measures in place throughout this period. The normal working environment of the MASH was significantly impacted due to the Covid-19 outbreak. The core principles to bringing agencies together for timely information sharing and safeguarding was disrupted by agencies working from home or different bases to protect staff. Consequently, Derby City MASH has had to evolve and adapt to ensure the service has continued to work effectively and continue to safeguard Children, Adults and families in Derby City. The impact was minimised by each partner activating their Business Continuity Plans and ensuring staff members had remote access to IT equipment, electronic patient records and meetings were held via telephone conference calls, Microsoft teams etc.

Safeguarding Strategy

NHS Derbyshire Healthcare NHS Foundation Trust

- 1. Staff Training:** We ensure that our staff receive and maintain appropriate training in safeguarding adults and children.
- 2. Family Involvement:** We routinely involve families and carers when we are supporting the person in our care, both when they come into our services and within 72 hours on an in-patient stay. We gather information and family history to help us assess and make good safety plans with the person.
- 3. Trauma-Informed Care:** We are working to be able to show that we provide trauma-informed care and clinical practice, particularly in safeguarding. This means that we will be able to understand the impact of trauma better and work with people to achieve the outcomes they seek.
- 4. Professional Curiosity:** We are growing in our demonstration of professional curiosity and are able to have richer conversations with people that enable us to consider a range of possible interpretations, rather than a single narrative. This helps us to be able to safety plan more effectively with people.
- 5. Teamwork and Joint Planning:** We will be able to show that our teams are working together, using intelligence, joint planning and creativity to safeguard individuals and families across our care pathways.
- 6. Support for Staff:** We want people who have experience of abuse to feel safe and supported in our services and to know what is going to happen next in the safeguarding pathway.
- 7. Confidence in Partner Agencies:** If people working in our organisation cause hurt or harm we will take this seriously and work with our partner agencies to apply legal and safeguarding processes, reporting to the police and professional bodies whilst upholding a just culture in which staff and people who use our services can have confidence.

Making a positive difference

For more information about our Safeguarding Strategy please visit www.derbyshirehealthcareft.nhs.uk

Prevention

We have continued to work across our clinical areas to increase the knowledge base of our staff and to ensure they are spotting signs and making connections with the people we serve and their families. We have made headway as we see accurate and clear professional curiosity and our staff taking action. This is positive progress.

Our Trust teams continue to support Prevent with attendance at monthly Channel Panel meetings and referral related activity on a daily basis. In the early days of the pandemic, we developed a standard operating procedure for our colleagues visiting care homes, that supported a balance between protecting some of our most vulnerable service users by reducing footfall into care homes but also maintaining our safeguarding responsibilities. Our older adult community services were commended by NHS England for innovative use of technology utilising a web-based platform to maintain virtual contact with staff and service users in homes; this was in part to fulfil our safeguarding responsibilities and support the care homes to provide appropriate care. In addition, we have opened our county wide 24-hour mental health line and parental support offers. Both schemes have been valued by our people who use services, parents, carers and other professionals. This early help offer of telephone support and early intervention has prevented harm.

Quality Assurance

Accountability and transparency in the Trust in delivering safeguarding procedures, we continue to publish our safeguarding annual reports, committee papers and our learning.

We have not had a trust wide CQC inspection this year, and we retain our Trust wide Good rating however, the CQC have utilised their Transitional Monitoring Arrangements to inspect core services. Safeguarding has always been a part of these reviews and no concerns have been raised as part of them.

We continue to support the Safeguarding Adults Board in its endeavours and business plan. DHCFT is a key member of all subgroups and contributes to Safeguarding Adults Reviews and learning reviews.

Safeguarding Adults Assurance Framework [SAAF] – this year's SAAF was, understandably, focused largely on the impact of the Covid-19 pandemic. Our safeguarding commissioners concluded the following: Areas discussed included the impact of Covid-19, changes to practice, operational and referral themes and trends. The leads concluded that The Trust continues to work effectively to protect patients from abusive behaviour and implements best practice. Both the Assistant Director and the Named Doctor are skilled and respected members of the inter-agency community and effectively support the work of the SABs. They have worked hard to ensure that the Trust has met its statutory responsibilities during testing times.

Making Safeguarding Personal

There are many individual examples of how we have applied person-centred safeguarding responses and safety plans throughout the year, but one particular piece of work stands out.

We have coordinated a multiagency Task and Finish Group focusing on Domestic Abuse and Older People. This group was established in November 2020 and is envisaged to complete its work in June 2022. It has concentrated on the needs of older people in intimate relationships where domestic abuse may not be recognized and addressed and where developing dementias may be a factor. Key achievements have been a review of Domestic Abuse training to include the specific needs of this group; a referral pathway and professional guidance for multiagency practitioners and a repository of resources relating to safeguarding this group of people.

DHU Healthcare

DHU Health Care's core values revolve around putting patients' interests at the heart of everything we do, respecting individual rights to respect and dignity, demonstrating excellence in everything we do and placing patients and colleagues at the heart of the organisation. These four core values underpin the safeguarding criteria within the internal structures of DHU Health Care.

To support the delivery of the safeguarding agenda within DHU Health Care there is a clear governance and accountability framework in place. The framework provides assurance to our commissioners that whilst the ultimate responsibility and accountability for adult safeguarding lies firmly with the Board of Directors, every member of staff is accountable and is responsible for safeguarding adults at risk.

DHU Health care has a robust referral pathway and strong communication and information sharing links with other organisations. This is coupled with representation at The Safeguarding Board meeting and associated subgroups.

It is recognised that the impact of Covid -19 has affected many people's daily lives, in different ways. Financially, socially, family relationships and support networks, and children's education to name a few. These changes increased the risk for some of the most vulnerable who were unable to protect themselves from abuse and neglect.

During this past year the Safeguarding Team at DHU Health Care were involved in both National and Local campaigns and initiatives to safeguard Children and Adults at risk.

Regular updates and information were distributed to clinical staff to assist them in recognising the risks associated with the pandemic and its subsequent lockdowns.

Current and future work

The DHU Health Care Safeguarding team will continue to provide support to all DHU Health Care staff regarding safeguarding concerns and will develop new and innovative means of ensuring quality assurance within the safeguarding agenda. The DHU Health Care Safeguarding training will be further developed utilising various mediums and platforms to enhance the learning experience.

The DHU Health Care Safeguarding Childrens and Safeguarding Adult procedures will be redeveloped into one overarching safeguarding procedure, in line with the 'Think Family' approach. This will be coupled with area specific guidance documents keeping abreast of important safeguarding initiatives both local and national and easily available to all staff across DHU.

Going forward DHU Health Care will continue to be vigilant about the expanding range of initiatives and disciplines that come under the 'safeguarding' umbrella. DHU Health Care will continue to focus upon safeguarding practice, and as a partner agency within the Safeguarding network we will continue to work collaboratively, supporting the development and implementation of agreed safeguarding strategies and policies.

National Probation Service (NPS)

The actions of the National Probation Service Derbyshire in support of the delivery of the Safeguarding Adults Strategic Plan in 2020-21 include:

Quality Assurance:

- Mandatory training continues for all new staff, comprising both e-Learning and face to face remote training on Adult Safeguarding. This is delivered nationally and is an objective within all staff appraisals. We also support attendance at local training where possible.
- This is a particular focus as we work towards the unification of Probation Services in June 2021, where training of staff transferring in from the CRCs will be a priority.
- Participation in all statutory enquiries such as SARs and DHRs, where the agency has information or a perspective to contribute
- We have continued to promote awareness of referral pathways into adult safeguarding assessments in order to develop staff understanding of thresholds.
- We have recently completed an audit of one case per Offender Manager in respect of safeguarding referrals and will report back on these findings to the QA sub-group later in the year.

Prevention:

- Attendance at board meetings by Charlotte Dunkley, Head of LDU or Marion Page-Smith, Deputy Head of LDU. Other meetings are attended by Senior Probation Officers as required. This enables us to signpost issues to staff and be aware of developing trends in safeguarding.
- Our remote supervision of vulnerable people on Probation has led to increased awareness of the loneliness and physical frailty of some we supervise. We have made use of befriending and support services on a national and local level in order to alleviate this and avoid a decline in physical and mental wellbeing.

Making Safeguarding Personal

- Joint work within the MAPPA framework at management levels 2 and 3 where adult safeguarding is an issue in a case. This has included continued Court of Protection work in a case relating to capacity/DOLs issues.
- We have participated in a PIPOT referral and review of the process arising from a Misconduct in Public Office allegation.
- Work has continued with Safeguarding, Police and Housing colleagues this year in response to concerns about vulnerability of people who experience homelessness or rough sleeping. This has been a particular focus during COVID 19 recovery periods and has led to joint funding of a prison navigator post in addition to the community safety housing adviser post already funded by Derby Homes.

- We have continued to roll out the involvement of Life Sentenced prisoners in the quarterly Lifer panels that we hold to review their risks and needs. Physical attendance at these is paused during the COVID 19 measures but we have been able to arrange virtual attendance where possible.

Recovery from COVID 19:

COVID 19 has presented huge challenges in managing the supervision of around 500 people on probation in the community in the City, where office attendance has had to be replaced by doorstep home visits and remote contact. We have however continued to see in person those people who pose the highest risk.

As our buildings have become COVID secure, we are returning to some face to face contact with all people on probation over the next few months. We will be consolidating our buildings following unification in order to support unification and recovery from COVID.

University Hospitals of Derby and Burton NHS Foundation Trust

Like all agencies, UHDB has faced significant challenges over 2020-21 due to the Covid 19 pandemic. Despite this, the Trust has continued to prioritise the safeguarding of adults and children and ensured that staff across the organisation have had access to advice, and guidance throughout this difficult period.

Looking at the data we are very pleased to note that despite the very stretched resources and rapid and regular change to pathways and services across all 5 sites, recognition, and response to safeguarding adult cases has held up very well indeed.

Key achievements:

- Developing compliance with the Mental Capacity Act (MCA)- embedding MCA assessments embedded into electronic systems, patient care records, amendments to medical clerking documentation, amendments to ReSPECT documentation and development of treatment with lawful consent training package.
- Continued promotion and support on the management of victims and perpetrators of domestic abuse (DA); Development of training video, DA posters across the five sites and strengthened links with local IDVA services.
- Continued visible presence across all five sites of the organisation, promoting safeguarding and providing advice and support to the clinical areas.
- Development of robust systems for managing Section 42 allegations against the trust.
- Focus on Trust implementation of MH Steering Group and quality improvement programme with regard to training and patient experience and agreement of SLA with DHCFT to manage MHA Administration.

Adult activity across all five sites 2020-21:

- Adult Social Care referrals made 829 (25% increase on 2019/20 figures)
- Section 42 allegations against the trust 37 (48% increase on 2019/20 figures)
- Advice calls 932 (27% increase on 2019/20 figures) 48
- Information exchanges with MASH 1044 (92% increase on 2019/20 figures)
- DoLS applications made 239 (87% increase on 2019/20 figures)
- MARAC cases responded to 1179 (34% increase on 2019/20 figures)
- DV logs received 2288 (12% increase on 2019/20 figures)

Priorities for the forthcoming year:

- Implementation of Liberty Protection Safeguards (LPS) across all areas.
- Continued focus on MCA compliance across the trust
- Embedding MHA processes
- Development and implementation of neuro-diversity strategy for the Trust
-

Derbyshire Community Health Services (DCHS) NHS Foundation Trust

DCHS has responded to the NHS England and NHS Improvement letter, Covid-19 Prioritisation within Community Health Services and the NHS Improvement Conference, to ensure that the Trust has a local service delivery response based on clinical prioritisation that is in keeping with the latest guidance from NHSE/I. This has included staff being redeployed to other services to meet the demands of the pandemic.

DCHS is a proactive member of the Board and sub-groups; contributing to the Board work streams and working with partner agencies to enable people in Derby City to live a life free from fear, harm and abuse.

Making Safeguarding Personal

The Safeguarding Team advocates making safeguarding personal through the provision of advice/support, training and supervision. Staff are advised and encouraged to have conversations with the patients/service users that they are providing care for and/or where there is a safeguarding referral; to give the person the opportunity to voice their needs and what they want, reflecting the safeguarding personal agenda.

Safeguarding supervision enables the Named Nurses and Specialist Practitioners for both adults and children to explore and reflect with staff what daily life is like for the patient/service user, their current level of need/support and how to make a safeguarding journey personal.

Prevention

The Safeguarding Team provides advice/support and supervision to staff; this includes conversations regarding care and safety plans to prevent harm when either someone makes an unwise decision and/or they don't have capacity and how to make a safeguarding referral to Social Care to enable patients/service users to be safeguarded and protected from harm.

Self-neglect is noted to be a recurrent theme during advice calls, often related to complex needs and additional vulnerabilities. The implementation of the Was Not Brought, Did Not Attend and No Access Visits Policy has supported staff to consider the barriers to engagement, encourage information sharing, safety planning and person-centered conversations with patients/service users.

During the first lockdown it was noted that there was an increase in domestic abuse; this and the impact of domestic abuse was presented and discussed at the 'Team Brief – update for leaders' and information has been disseminated to staff.

Quality Assurance

DCHS has demonstrated compliance with the Safeguarding Adult Assurance Framework (SAAF), Section 11 Audit and the Markers of Good Practice, Looked After Children Audit. DCHS had a follow up site visit on 9 February 2021, for the SAAF; the outcome being: the CCG are assured that DCHS continue to work effectively to reduce the potential for adults at risk to be exposed to abusive behaviour and practice. DCHS is required to provide quarterly information to the Clinical Commissioning Group regarding safeguarding data and activity which includes 'making safeguarding personal', quality assurance, Board/sub-group activity and learning.

The DCHS Safeguarding Governance Group (SGG) provides assurance to the Quality Services Committee (QSC) and the DCHS Board. The Group meets bi-monthly and provides assurance to QSC that DCHS is meeting its statutory safeguarding duty and is compliant with the Care Act 2014 and Section 11 of the Children Act 2004.

DCHS is preparing for the implementation of Liberty Protection Safeguards. This includes participating in both local and national meetings, networking with Community Trusts and partner agencies and creating a DCHS LPS meeting to support scoping, preparation and planning.

Covid 19 working arrangements have had an impact on the planned audit schedule for 2020-21. The audit schedule in place for 2021-2022 includes the quality of referrals to adult social care, including making safeguarding personal, safeguarding supervision and Deprivation of Liberty Safeguard Audit.

Community Safety Partnership

Modern Slavery

- During 2020, the City / County commissioned Pre NRM 'Your Space' project (British Red Cross) provided support and accommodation to 12 victims (six male and six female). Eight victims went onto access further support / provision from the NRM and four victims choose to access further long term support through the British Red Cross or other non-statutory providers. Victims came from Hungary, Poland, Pakistan, British, China and Morocco.
- Successfully awarded £2,500 from the Modern Slavery Transformation Programme to support the development of a First Responders e-document in various languages with can be used by professionals to help victims of modern slavery understand the services offered by the NRM and to provide webinar training awareness sessions for representatives from the third sector.
- Launched the Modern Slavery and Human Trafficking Form - this form has been developed and by the Derby and Derbyshire Modern Slavery Partnership Protect and Pursue Subgroups and Derby and Derbyshire Adults and Children Safeguarding Boards. The intelligence form offers a multi-agency system of sharing information with Derbyshire Police, and is for those instances where existing processes do not fit. This form should be used to primarily share information about the person(s) or place(s) that poses a risk of modern slavery and can include low level soft 'whisperings' and 'gut feelings' etc.
- Undertaking three case audits with British Red Cross on the 'Your Space' project. The audit will check to ensure that compliance and quality standards have been met, identifying any learning and future development by the service provider e.g. communication, consent and information sharing etc.
- Primary focus has been on practice and processes to identify and protect potential victims. As a result, local practice guidance has been developed to support frontline practitioners and to ensure a consistent approach when dealing with potential victims - [Derby and Derbyshire Modern Slavery Guidance V 1.01 December 2020.pdf \(proceduresonline.com\)](#).

Prevent

- Counter Terrorism Local Profile (CTLP) reviewed and briefings delivered
- Working with the Police and other statutory partners to maintain an up to date threat picture in order to maintain a dynamic local Risk Assessment.
- Reviewing the multi-agency partnership board to oversee Prevent and Channel delivery in the area.
- Revised Channel Duty issued
- Working with Police and others to identify and manage the risks associated with individuals and institutions, who promote extremist views in order to disrupt radicalising influences.
- Delivering projects to tackle community tensions and counter extremist and divisive narratives.
- Actively support safeguarding processes, including Channel and raising awareness of Channel locally.

- Engagement and communications with a range of communities and civil society groups, both faith-based and secular, to encourage an open and transparent dialogue on Prevent to build advocacy and trust
- Providing support to parents to raise awareness of extremism and radicalisation, both online and offline.
- Identifying individuals and groups, including those of our contractors, requiring WRAP training and deliver where required.
- Providing opportunities for frontline workers to develop their knowledge, skills, understanding and confidence within extremism and radicalisation.
- Holding Difficult Conversations Training for Social Workers completed.

Department for Work and Pensions

- In 2020 DWP introduced teams to lead work on its approach to supporting vulnerable customers. As part of this, a network of over 30 Advanced Customer Support Senior Leaders (ACSSLs) were appointed, providing an escalation route for all DWP colleagues to refer to when a customer requires some form of advanced support, ensuring that these customers are signposted or referred to the support that they need
- ACSSLs work with a range of external partners within their own geographical area, aligning support for vulnerable customers wherever possible. They have formed a network of robust links within local communities across England, Scotland and Wales that form an integral element of DWP's wider partnership agenda
- Whilst DWP does not have a legal duty to 'safeguard', we absolutely recognise the positive impact that a collaborative approach can have when supporting vulnerable customers. We continue to work across all internal teams and with our external partners to help to provide the support that customers require

Diocese of Derby

Making Safeguarding Personal:

Working during the pandemic has been challenging for the Diocesan Safeguarding Team with periods of furlough and working from home. In addition, the Diocesan safeguarding Adviser left the role and there was a period during which temporary arrangements were in place pending the recruitment process being completed. However, we have maintained a safeguarding service across the Diocese this time and were also able to make arrangements to meet with survivors in a covid safe way, where necessary. Where this was not possible, we were able to put in place agreed safety plans with the agreement of the survivor until such time as matters could be progressed.

Despite many churches being closed, they have continued worship via online services, and these have continued to evidence safeguarding awareness on the part of worship leaders to ensure people are safe and well on a regular basis. Our decision making continues to keep the adult concerned at the centre of all we do.

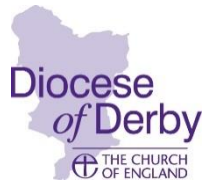
Quality Assurance:

The work of the Diocesan Safeguarding Team is overseen by the Diocesan Safeguarding Advisory Panel. This year the membership has been refreshed with excellent engagement from our multi-agency partners and the appointment of a new chair. This provide independent oversight of the safeguarding arrangements in the Diocese and we are now poised to develop or quality assurance further in due course.

Prevention:

The Diocese continues to work towards embedding a culture of safeguarding in all our activities. The work we do with our clergy and our Parish Safeguarding Officers seeks to ensure safe recruitment and safe practice in our all our churches. Many of our churches have worked hard in the community with projects such as food banks to enable families to remain safe and well-nourished through these most challenging of times. This work has been coupled with pastoral visits and support, seeking to ensure the most vulnerable in our communities remain safe from harm.

Safeguarding Training has continued to be delivered remotely and we are committed to raising awareness of safeguarding issues across the Diocese, enabling early identification of concerns and appropriate action.



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 Derby Safeguarding Adults Board
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